

DEPARTMENT OF HEALTH & HUMAN SERVICES  
Centers for Medicare & Medicaid Services  
Center for Medicare  
7500 Security Boulevard  
Baltimore, Maryland 21244-1850



**MEDICARE-MEDICAID COORDINATION OFFICE**

---

**DATE:** June 2, 2020

**TO:** Medicare-Medicaid Plans

**FROM:** Lindsay P. Barnette  
Director, Models, Demonstrations & Analysis Group

**SUBJECT:** Ohio MMPs: Release of Final Contract Year 2021 Model Materials

Attached to this memorandum are the new model materials for Contract Year (CY) 2021 developed jointly by CMS and Ohio for Medicare-Medicaid Plans (MMPs) operating in the Ohio Capitated Financial Alignment Model Demonstration. CMS and Ohio jointly updated these models as summarized in the CMS memorandum, "Medicare-Medicaid Plan and Minnesota Senior Health Options Plan Member Material Model Updates for Contract Year 2021," dated May 14, 2020 and issued through the Health Plan Management System. Ohio MMPs may only use the CY 2021 models for CY 2021.

As a result of the implementation of CMS-4190-F1, Contract Year 2021 Policy and Technical Changes to the Medicare Advantage Program, Medicare Prescription Drug Benefit Program, and Medicare Cost Plan Program, which may be found in the Final Rule published on June 2, 2020 (see [www.federalregister.gov/documents/2020/06/02/2020-11342/medicare-program-contract-year-2021-policy-and-technical-changes-to-the-medicare-advantage-program](https://www.federalregister.gov/documents/2020/06/02/2020-11342/medicare-program-contract-year-2021-policy-and-technical-changes-to-the-medicare-advantage-program)), we incorporated related changes into the CY 2021 model materials.

The following materials are included with this guidance:

- **Annual Notice of Changes (ANOC):** The ANOC must be received by current enrollees by September 30, 2020 and posted on plan websites by October 15, 2020.
- **Member Handbook/Evidence of Coverage (EOC) - Chapters 1-11:** The Member Handbook (or a separate notice to alert enrollees how to access or receive the Member Handbook) must be received by current enrollees by October 15, 2020 and posted on plan websites by October 15, 2020.
- **Summary of Benefits (SB):** The SB must be available by October 15, 2020, but can be released as early as October 1, 2020, and posted on plan websites by October 15, 2020.
- **Provider and Pharmacy Directory:** The directory (or a separate notice to alert enrollees

how to access or receive the directory) must be received by current enrollees no later than October 15, 2020. The directory must be available to current and prospective enrollees and posted on plan websites by October 15, 2020.

- **List of Covered Drugs (Formulary):** The formulary (or a separate notice to alert enrollees how to access or receive the formulary) must be received by current enrollees no later than October 15, 2020 and available to current and prospective enrollees and posted on plan websites by October 15, 2020.
- **Drug-only MMP Explanation of Benefits (EOB):** Ohio MMPs may use the Drug-only MMP EOB to satisfy the requirement under 42 CFR 423.128(e) to send enrollees Part D claims information for each month in which they incur any drug claims. Use of this model is optional. Ohio MMPs may instead use the CY 2021 Part D EOB model provided to Part D sponsors at [www.cms.gov/Medicare/Prescription-Drug-Coverage/PrescriptionDrugCovContra/Part-D-Model-Materials](http://www.cms.gov/Medicare/Prescription-Drug-Coverage/PrescriptionDrugCovContra/Part-D-Model-Materials).
- **Member ID Card**
- **Integrated Denial Notice**
- **Notice of Appeal Decision:** Second Notice of Decision – Dual Benefits Members (Overlap MDCR/MDCD Services)
- **Plan-Delegated Enrollment and Disenrollment Notices**
  - Exhibit 4: Model Notice to Acknowledge Receipt of Completed Enrollment Request and to Confirm Enrollment
  - Exhibit 5a: Welcome Letter for Passively Enrolled Individuals
  - Exhibit 11: Model Notice to Acknowledge Request to Cancel Enrollment
  - Exhibit 16: Model Notice to Confirm Voluntary Disenrollment from Medicare Services Following Receipt of Transaction Reply Report (TRR)
  - Exhibit 19: Model Notice for Disenrollment Due to Out of Area Status (No Response to Request for Address Verification)
  - Exhibit 21: Model Notice for Disenrollment due to Loss of Demonstration Eligibility Status or Other State-Specific Eligibility Status - Notification of Involuntary Disenrollment
  - Exhibit 27: Model Acknowledgement of Reinstatement
  - Exhibit 28: Acknowledgement of Request to Opt Out of Passive Enrollment for Medicare Services (not connected to request to disenroll or cancel enrollment in MMP)

The attached guidance and models will also be posted to the Medicare-Medicaid Coordination Office's Information and Guidance for Plans webpage at [www.cms.gov/Medicare-Medicaid-Coordination/Medicare-and-Medicaid-Coordination/Medicare-Medicaid-Coordination-Office/FinancialAlignmentInitiative/MMPInformationandGuidance/MMPMarketingInformationandResources](http://www.cms.gov/Medicare-Medicaid-Coordination/Medicare-and-Medicaid-Coordination/Medicare-Medicaid-Coordination-Office/FinancialAlignmentInitiative/MMPInformationandGuidance/MMPMarketingInformationandResources), grouped alphabetically by state under the "State-Specific Information" heading.

We encourage all plans to work closely with their marketing reviewers and Contract Management Team to ensure timely submission and approval of all required CY 2021 materials, as well as timely and complete entry of Actual Mail Dates for ANOCs. If you have any

questions about the contents of this memorandum, please contact the Medicare-Medicaid Coordination Office at [MMCOCapsModel@cms.hhs.gov](mailto:MMCOCapsModel@cms.hhs.gov).